



PTO/SB/08A (10-01)

Approved for use through 10/31/2002 OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/077,555
				Filing Date	February 15, 2002
				First Named Inventor	Rong-Fu Wang
				Art Unit	1645
				Examiner Name	Not Yet Assigned
Sheet	1	of	2	Attorney Docket Number	HO-P02373US1

TECH CENTER 1600/2900

JAN 30 2003

RECEIVED

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
SR	BA	IB-98/46083-A1	10/22/1998	The Regents of the University of California		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \* Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T <sup>2</sup>
SR	CA	Banchereau, Jacques, et al.; Dendritic cells and the control of immunity; Nature, Vol. 392, pp 245 - 252, March 19, 1998			
	CB	Bellone, Matteo, et al.; Relevance of the Tumor Antigen in the Validation of Three Vaccination Strategies for Melanoma; The Journal of Immunology, 2000, 165:2651 - 2656			
	CC	Cella, Marina, et al.; Inflammatory stimuli induce accumulation of MHC class II complexes on dendritic cells; Nature, Vol. 388, pp 782 - 787, August 21, 1997			
	CD	Celluzzi, Christina M., et al.; Peptide-pulsed Dendritic Cells induce Antigen-specific, CTL-mediated Protective Tumor Immunity; J. Exp. Med., Vol. 183, pp 283 - 287, January 1996			
	CE	Dallal, Ramsey M., et al.; The dendritic cell and human cancer vaccines; Current Opinion in Immunology 2000, 12:583 - 588			
	CF	Houghton, Alan N., et al.; Immunity against cancer: lessons learned from melanoma; Current Opinion in Immunology, 2001, 13:134 - 140			
	CG	Gilboa, Eli; The Makings of a Tumor Rejection Antigen; Immunity, Vol. 11, pp 263 - 270, September 1999			
	CH	Ludewig, Burkhard, et al.; Role of dendritic cells in the induction and maintenance of autoimmune diseases; Immunological Reviews 1999, Vol. 169:45 - 54			
	CI	Mehta-Damani, Anita, et al.; Generation of Antigen-specific CD8+ CTLs from Naive Precursors; The Journal of Immunology, 1994, 153: 996 - 1003			
	CJ	Nestle, Frank O., et al.; Vaccination of melanoma patients with peptide- or tumor lysate-pulsed dendritic cells; Nature medicine, Vol. 4 (3), pp 328 - 332, March 1998			
	CK	Overwijk, Willem W., et al.; Vaccination with a recombinant vaccinia virus encoding a "self" antigen induces autoimmune vitiligo and tumor cell destruction in mice: Requirement for CD4+ T lymphocytes; Proc. Natl. Acad. Sci. USA (Immunology), Vol. 96, pp 2982 - 2987, March 1999			

Examiner Signature		Date Considered	10/18/05
-----------------------	--	--------------------	----------

25253668.1



PTO/SB/08A (10-01)  
Approved for use through 10/31/2002 OMB 0651-0031  
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Patent Information Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/077,555		
		Filing Date	February 15, 2002		
		First Named Inventor	Rong-Fu Wang		
		Art Unit	1645		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	HO-P02373US1

RECEIVED  
JAN 30 2003  
CHICAGO 160012900

SR	CL	Paglia, Paola, et al.; Murine Dendritic Cells Loaded In Vitro with Soluble Protein Prime Cytotoxic T Lymphocytes against Tumor Antigen In Vivo; J. Exp. Med., Vol. 183, pp 317 - 322, January 1996	
	CM	Schreurs, Marco W. J., et al.; Dendritic Cells Break Tolerance and Induce Protective Ummunity against a Melanocyte Differentiation Antigen in an Autologous Melanoma Model; Cancer Research, Vol. 60, pp 6995 - 7001, December 15, 2000	
	CN	Schuler, G., et al.; Commentary - Dendritic Cells as Adjuvants for Immune-mediated Resistance to Tumors; J. Exp. Med., Vol. 186 (8), pp 1183 - 1187, October 20, 1997	
	CO	Thurner, Beatrice, et al.; Vaccination with Mage-3A1 Peptide-pulsed Mature, Monocyte-derived Dendritic Cells Expands Specific Cytotoxic T Cells and Induces Regression of Some Metastases in Advanced Stage IV Melanoma; J. Exp. Med., Vol. 190 (11), pp 1669 - 1678, December 6, 1999	
	CP	Young, James W., et al.; Dendritic Cells as Adjuvants for Class I Major Histocompatibility Complex-restricted Antitumor Immunity; J. Exp. Med., Vol. 183, pp 7 - 11, January 1996	
	CQ	Wang, Rong-Fu, et al.; Enhancement of antitumor immunity by prolonging antigen presentation on dendritic cells; Nature Biotechnology, Vol. 20, pp 149 - 154, February 2002	
✓	CR	Wang, Rong-Fu, et al.; Human tumor antigens for cancer vaccine development; Immunological Reviews, Vol. 170:85 - 100, 1999	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	10/18/05
-----------------------	--	--------------------	----------